

PATENT  
Attorney Docket No.: ECD-0014  
Customer No.: 29344

2132  
# 6  
12-12-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James A. Merkle, Jr. *et al.*  
Serial No.: 10/062,400  
Filing Date: February 1, 2002  
Title: SYSTEMS AND METHODS FOR MEDIA AUTHENTICATION

Examiner: Not yet assigned  
Group Art Unit: 2132

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11-4-03

Date

Vanessa Marakas  
Vanessa Marakas

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Technology Center 2100

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AL, AM and PB; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: November 4, 2003  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
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Anthony P. Onello, Jr.  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



PATENT  
Attorney Docket No.: ECD-0014

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Applicant(s): James A. Merkle, Jr. *et al.* Examiner: Not yet assigned  
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P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

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Technology Center 2100

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): James A. Merkle, Jr. *et al.*  
Serial No.: 10/062,400

Statement Under 37 1.97(e)

- ☒ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☒ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for references AL and AM is satisfied by:

- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☒ submission of the enclosed International Search Report in related International PCT application serial No. PCT/US02/26458.
- ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

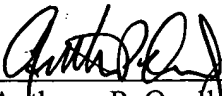
Applicant(s): James A. Merkle, Jr. *et al.*  
Serial No.: 10/062,400

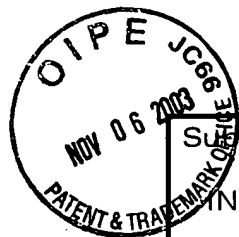
Method of payment:

- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: November 4, 2003  
Mills & Onello, LLP  
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Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



Subst. Form PTO-1449

Docket Number (Optional)

Application Number

ECD-0014

10/062,400

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

James A. Merkle, Jr., et al.

(Use several sheets if necessary)

Filing Date

02/01/02

Group Art Unit

2132

## U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL	EP 0 987 705	03/22/02	Europe	G11B	20/00		X
	AM	JP 08 096508	04/12/96	Japan	G11B	20/10		X
	AN							
	AO							
	AP							
	AQ							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



Subst. Form PTO-1449				Docket Number (Optional) <b>ECD-0014</b>		Application Number <b>10/062,400</b>		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)				Applicant <b>James A. Merkle, Jr., et al.</b>				
				Filing Date <b>02/01/02</b>		Group Art Unit <b>2132</b>		
U. S. Patent Documents								
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	PA							
	PB	5,400,319	03/21/95	Fite, et al.	369	275.5	10/06/93	
	PC							
	PD							
	PE							
	PF							
	PG							
	PH							
	PI							
	PJ							
	PK							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	PL							
	PM							
	PN							
	PO							
	PP							
	PQ							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	PR							
	PS							
	PT							
EXAMINER					DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.								